

PLANNED AUDITS 2010/2011

Agenda item 8 APPENDIX 2

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 24 August 2010													
JOINT													
Brown Bins SODC VWHDC	To Commence	14											
Capital Accounting SODC VWHDC	To Commence	16											
Council Tax SODC VWHDC	To Commence	20											
Creditor Payments SODC VWHDC	To Commence	30											
Equalities and Diversity Strategy SODC VWHDC	To Commence	16											
General Ledger SODC VWHDC	In progress	20											
Health & Safety SODC VWHDC	In progress	20											
Housing & Council Tax Benefits Annual Audit SODC VWHDC	In progress	30											
Housing & Council Tax Benefits Overpayments and Recovery Follow-Up SODC VWHDC	In Progress	14											
HR Grievance Procedure SODC VWHDC	Final Issued Final Issued	20	10		Full Full	2 3	0 0	0 0	0 0	0 0	2 3	2 3	0 0
HR Recruitment SODC VWHDC	Final Issued Final Issued	20	23		Satisfactory Satisfactory	4 6	0 0	0 0	3 3	1 1	1 3	1 3	2 2
ICT SODC VWHDC	To Commence	20											

International Financial Reporting Standards SODC VWHDC	To Commence	20												
Leisure Centres SODC VWHDC	In progress	30												
NDR SODC VWHDC	To Commence	20												
Payroll SODC VWHDC	To Commence	20												
Pro-Active Anti-Fraud Review SODC VWHDC	To Commence	30												
Project Management SODC VWHDC	To Commence	30												
Sundry Debtors SODC VWHDC	To Commence	30												
Time Management Across SODC/VWHDC SODC VWHDC	To Commence	20												
Travelling & Subsistence Expenses SODC VWHDC	To Commence	20												
Treasury Management SODC VWHDC	To Commence	14												
Verification of National Indicators SODC VWHDC	To Commence	20												
SODC														
Cash Office	Final issued	8	6			Satisfactory	4	0	0	0	0	4	3	1
Cornerstone - Emergency Planning, Disaster Recovery, Business Continuity Planning	In Progress	8												
Fit for The Future Implementation Review	To Commence	10												
Leader Project Assurance	In progress	10												
Leader Project Grant Verification	In progress	3												
Pest Control	To Commence	5												
VWHDC														
Car Loans	Final Issued	10	10			Satisfactory	10	3	2	3	3	4	2	3
Handling of Postal Cash and Cheques	In progress	7												
Licensing	In Progress	10												

Mortgages Administration	To Commence	7												
Stray Dogs Contract	To Commence	10												
TOTALS	-	582			Full Satisfactory Limited Nil	13	29	3	2	9	5	17	14	8

FOLLOW UP AUDITS 2009/2010

System Name	Total Days Used	Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
VFM from CCTV Contract Arrangements 09/10	0.50	Satisfactory	5	4	0	0	1
Records Management 09/10	0.50	Limited	8	3	1	4	0
Temporary Accommodation 09/10	0.50	Satisfactory	6	4	0	0	2
Partnership Performance Monitoring 09/10	0.50	Satisfactory	6	3	0	1	2
Contract Monitoring 08/09	0.50	Satisfactory	4	3	1	0	0
Facilities Management 09/10	0.50	Full	1	1	0	0	0
Focus Group Payments 08/09	0.50	Limited	7	7	0	0	0
VWHDC							
VFM from CCTV Contract Arrangements 09/10	0.50	Satisfactory	11	7	0	1	3
Rent Accounting 09/10	0.50	Satisfactory	12	7	1	4	0
Lone Working/ Officer Security 09/10	0.50	Satisfactory	8	5	1	2	0
Contract Monitoring 08/09	0.50	Limited	5	2	0	3	0
Oxfordshire Waste Partnership 08/09	0.50	Satisfactory	5	4	1	0	0
Partnership Performance Monitoring 09/10	0.50	Satisfactory	6	3	0	1	2
Records Management 09/10	0.50	Limited	11	1	1	9	0
Corporate Administration 09/10	0.50	Satisfactory	6	4	0	1	1
Business Continuity 08/09	0.50	Satisfactory	10	7	0	3	0
TOTALS	8.0		111	65	6	29	11

FOLLOW UP AUDITS 2010/2011

System Name	Total Days Used	Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
None	-	-	-	-	-	-	-
VWHDC							
None	-	-	-	-	-	-	-
TOTALS							

UNPLANNED WORK 2010/2011

CONSULTANCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	-	-	-	-
SODC				
None	-	-	-	-
VWHDC				
None	-	-	-	-

CONTINGENCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	-	-	-	-
SODC				
None	-	-	-	-

VWHDC				
DWP: Customer Information Systems, Breach of Security, Capita	-	5	-	Steve Bishop, Section 151 Officer

SYSTEM DEVELOPMENT

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	-	-	-	-
SODC				
None	-	-	-	-
VWHDC				
None	-	-	-	-